## **Grameen Capital Management Ltd.**

Grameen Bank Complex (1st Building, 2nd Floor) Mirpur-2, Dhaka-1216

## **KYC Profile**

Customer/ Account Name:  Client ID:  BO ID:  Type of Account: Margin   Cash   Name of Officer Opening the Account/Relationship Manager:  2. Source of Funds and nature of business  What is the nature of the business relationship and source of funds:  Information regarding Beneficial Owner of the account (In case of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more shares)  3. Other Documents  Passport No:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  Whether photocopy obtained? (Where applicable)   Yes   No National ID No.:  What does the customer do?  What does the customer do?  Menut (Tk.)   Risk level   Risk rating   No National ID No.   Risk level   Risk rating   No National ID No.   No N	1. Customer Informati	on:				
BO ID:	Customer/ Account Name:					
Type of Account: Margin	Client ID :					
Name of Officer Opening the Account/Relationship Manager:  2. Source of Funds and nature of business  What is the nature of the business relationship and source of funds:  Information regarding Beneficial Owner of the account (In case of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more shares)  3. Other Documents  Passport No.:  Whether photocopy obtained? (Where applicable)   Yes   No	BO ID:					
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Information regarding Beneficial Owner of the account (In case of company, information regarding controlling shareholder(s) and the shareholder(s) holding 20% or more shares)    3. Other Documents	2. Source of Funds and	I nature of business				
3. Other Documents  Passport No.:	What is the nature of the bu	siness relationship and sou	irce of	funds:		
3. Other Documents  Passport No.:						
3. Other Documents  Passport No.:						
3. Other Documents  Passport No.:						
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No What is the net worth/sales turnover of the customer?  Amount (Tk.)	Information regarding Ben	eficial Owner of the acc	count	(In case of c	ompany, information r	egarding
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No  National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No  TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No  VAT Reg. No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — No  What is the net worth/sales turnover of the customer?  Amount (Tk.)   Risk level   Risk rating  1-50 Lacs   Low   0  50L-200L   Medium   1  >2 crores   High   3  5. How was the A/C opened?  Mode   Risk Level   Risk rating  By relationship Manager/ Branch   Low   0  DSA   Medium   1  Internet   High   3						
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No  National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No  TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No  VAT Reg. No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — No  What is the net worth/sales turnover of the customer?  Amount (Tk.)   Risk level   Risk rating  1-50 Lacs   Low   0  50L-200L   Medium   1  >2 crores   High   3  5. How was the A/C opened?  Mode   Risk Level   Risk rating  By relationship Manager/ Branch   Low   0  DSA   Medium   1  Internet   High   3						
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No  National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No  TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No  VAT Reg. No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — No  What is the net worth/sales turnover of the customer?  Amount (Tk.)   Risk level   Risk rating  1-50 Lacs   Low   0  50L-200L   Medium   1  >2 crores   High   3  5. How was the A/C opened?  Mode   Risk Level   Risk rating  By relationship Manager/ Branch   Low   0  DSA   Medium   1  Internet   High   3						
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No  National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No  TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No  VAT Reg. No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — No  What is the net worth/sales turnover of the customer?  Amount (Tk.)   Risk level   Risk rating  1-50 Lacs   Low   0  50L-200L   Medium   1  >2 crores   High   3  5. How was the A/C opened?  Mode   Risk Level   Risk rating  By relationship Manager/ Branch   Low   0  DSA   Medium   1  Internet   High   3						
Passport No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Voter ID Card No.: — Whether photocopy obtained? (Where applicable)   Yes   No  National ID No.: — Whether photocopy obtained? (Where applicable)   Yes   No  TIN No.: — Whether photocopy obtained? (Where applicable)   Yes   No  VAT Reg. No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Driving License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  Trade License No.: — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — Whether photocopy obtained? (Where applicable)   Yes   No  What does the customer do? — No  What is the net worth/sales turnover of the customer?  Amount (Tk.)   Risk level   Risk rating  1-50 Lacs   Low   0  50L-200L   Medium   1  >2 crores   High   3  5. How was the A/C opened?  Mode   Risk Level   Risk rating  By relationship Manager/ Branch   Low   0  DSA   Medium   1  Internet   High   3						
Voter ID Card No.:	3. Other Documents					
National ID No.: ————————————————————————————————————	Passport No. :	Whether	photoc	opy obtained? (V	Vhere applicable) 🗌 Yes	☐ No
TIN No.: — Whether photocopy obtained? (Where applicable)	Voter ID Card No. :	Whether	photoc	copy obtained? (\	Where applicable)   Yes	□No
VAT Reg. No.: ——Whether photocopy obtained? (Where applicable)   Yes   No Driving License No.: ——Whether photocopy obtained? (Where applicable)   Yes   No Trade License No.: ——Whether photocopy obtained? (Where applicable)   Yes   No What does the customer do? ———————————————————————————————————	National ID No. :	Whether	photoc	copy obtained? (\	Where applicable)   Yes	☐ No
Driving License No.:	TIN No. :	Whether	photoc	opy obtained? (V	Vhere applicable) 🗌 Yes	□ No
Trade License No.:	VAT Reg. No. :	Whether	photoc	copy obtained? (\	Where applicable) Yes	□ No
Mention the occupation of the client in details:  4. What is the net worth/sales turnover of the customer?  Amount (Tk.) Risk level Risk rating  1-50 Lacs Low 0  50L-200L Medium 1  >2 crores High 3  5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3	Driving License No. :	Whether	photo	copy obtained? (	Where applicable)   Yes	□No
Mention the occupation of the client in details:  4. What is the net worth/sales turnover of the customer?  Amount (Tk.) Risk level Risk rating  1-50 Lacs Low 0  50L-200L Medium 1  >2 crores High 3  5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3	Trade License No. :	Whether	photo	copy obtained? (	Where applicable) ☐ Yes	□ No
4. What is the net worth/sales turnover of the customer?  Amount (Tk.) Risk level Risk rating  1-50 Lacs Low 0  50L-200L Medium 1  >2 crores High 3  5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3	What does the customer do?					
4. What is the net worth/sales turnover of the customer?  Amount (Tk.) Risk level Risk rating  1-50 Lacs Low 0  50L-200L Medium 1  >2 crores High 3  5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3						
Amount (Tk.)         Risk level         Risk rating           1-50 Lacs         Low         0           50L-200L         Medium         1           >2 crores         High         3           5. How was the A/C opened?           Mode         Risk Level         Risk rating           By relationship Manager/ Branch         Low         0           DSA         Medium         1           Internet         High         3	Mention the occupation of t	he client in details:				
Amount (Tk.)         Risk level         Risk rating           1-50 Lacs         Low         0           50L-200L         Medium         1           >2 crores         High         3           5. How was the A/C opened?           Mode         Risk Level         Risk rating           By relationship Manager/ Branch         Low         0           DSA         Medium         1           Internet         High         3						
1-50 Lacs         Low         0           50L-200L         Medium         1           >2 crores         High         3           5. How was the A/C opened?           Mode         Risk Level         Risk rating           By relationship Manager/ Branch         Low         0           DSA         Medium         1           Internet         High         3	4. What is the net wor	th/sales turnover of the co	ustom	er?		
50L-200L         Medium         1           >2 crores         High         3           5. How was the A/C opened?         Risk Level         Risk rating           By relationship Manager/ Branch         Low         0           DSA         Medium         1           Internet         High         3	Amount (Tk.)	Risk level		Risk rating		
>2 crores High 3  5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3		Low		0		
>2 crores         High         3           5. How was the A/C opened?           Mode         Risk Level         Risk rating           By relationship Manager/ Branch         Low         0           DSA         Medium         1           Internet         High         3		Medium		1		
5. How was the A/C opened?  Mode Risk Level Risk rating  By relationship Manager/ Branch Low 0  DSA Medium 1  Internet High 3	>2 crores	High		3		
ModeRisk LevelRisk ratingBy relationship Manager/ BranchLow0DSAMedium1InternetHigh3	5. How was the A/C or					
DSA Medium 1 Internet High 3			Risk	k Level	Risk rating	
DSA Medium 1 Internet High 3	By relationship Manager/ Bran	ch	Low	V		
Internet High 3			Me	dium	1	
			Hig	h	3	
	Walk-in/ Unsolicited		-		3	

6. Expected Value of Transaction on a monthly basis:

Value for Buy (Tk. Lacs)	Value for Sale (Tk. Lacs)	Risk Level	Risk rating
0-50	0-50	Low	0
50-100	5-100	Medium	1
>100	>100	High	3

7. Expected Number of Transaction on a monthly basis:

Number for Buy	Number for Sale	Risk Level	Risk rating	
0-100	0-50	Low	0	
101-200	51-100	Medium	1	
>200	>100	High	3	

8. Expected Value of Cash Transactions on a monthly basis

Value for Withdraw (Tk. Lacs)	Value for Deposit (Tk. Lacs)	Risk Level	Risk rating
0-5	0-5	Low	0
6-10	6-10	Medium	1
>10	>10	High	3

9. Expected Number of Cash Transactions on a monthly basis:

Number of Withdraw	Value of Deposit	Risk Level	Risk rating	
0-5	0-5	Low	0	
6-10	6-10	Medium	1	
>10	>10	High	3	

Social Economic condition	
Financial Literacy	
Capital Market Philosopy	
Overall RAS (Risk Assessment Score)	

## \*Score from RAS Questionary

10. Comments:		
11. Signature:		
s.	Account Holder	Joint Account Holde
epared by:		Reviewer by:
account Opening Office	cer/Relationship	Operation Manager
Manager		Seal & Signature:
Seal & Signature :		Name:
Name:		Date :
Date :		